

## A Hand-Held Capsule Device

### Field of the Invention

5           The present invention relates to a hand-held capsule device and is particularly, but not exclusively, concerned with such a device for use in a dry powder inhaler in which the capsules each contain an inhalable medicament powder.

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### Background of the Invention

          Dry powder inhalation devices ("DPI" for short) are well established for use in treating respiratory diseases. As an example, there may be mentioned the DISKUS® device of GlaxoSmithKline. In general, the pharmaceutical composition is formulated as a respirable powder and the powder is divided into a plurality of unit doses, each dose contained in its own sealed enclosure, for example blisters on a dosing strip. In use of the inhaler, the enclosures are opened, one at a time, by an opening mechanism of the inhalation device and the powder dose entrained into a patient's respiratory tract by an airflow generated through the device by the patient inhaling at a mouthpiece of the device.

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          The present invention proposes novel concepts having potential application in a DPI.

### Summary of the Invention

According to the present invention there is  
5 provided a track adapted for use in a hand-held,  
capsule-containing device which is adapted to receive  
a series of capsules therein and defines a conveying  
path along which the capsules are conveyable, the path  
including at least one fold section thereby to provide  
10 the path with a space-saving configuration.

The present invention further provides a hand-  
held device for conveying capsules therein having a  
track according to the invention.

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Preferably, the hand-held device is adapted for  
use as a component of an inhalation device for  
delivering medicament to a patient.

20 Preferred features of the invention are set forth  
in the subordinate claims appended hereto, as well as  
in the non-limiting exemplary embodiments of the  
invention hereinafter described with reference to the  
accompanying FIGURES of drawings.

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### Brief Description of the Drawings

FIGURE 1 illustrates a first hand-held device  
according to the present invention.

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FIGURE 2 is an exploded perspective view of the first hand-held device without a capsule chain for better understanding.

5       FIGURE 3 is a plan view of the first hand-held device with the upper face removed to better show a capsule chain in the device.

10       FIGURE 4 is a cross-sectional side view of the first hand-held device along line IV-IV in FIGURE 3.

15       FIGURE 5 is a schematic view illustrating a conveying mechanism for the capsule chain provided in the first hand-held device.

20       FIGURES 6A-6F are a sequence of plan views corresponding to FIGURE 3 showing the capsule chain as it moves through a complete circuit in the first hand-held device.

25       FIGURE 7 is a plan view of a second hand-held device according to the present invention with its upper face removed to better show a capsule chain in the device.

FIGURE 8 is a cross-sectional side view of the second hand-held device along line VIII-VIII in FIGURE 7.

FIGURE 9 is a cross-sectional underneath view of the second hand-held device along line IX-IX in FIGURE 8.

5        FIGURE 10 is a side view of one of the capsules in the capsule chain in the second hand-held device.

FIGURE 11 is an end view of the capsule of FIGURE 10 on arrow X.  
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FIGURE 12 is an end view of the capsule of FIGURE 10 on arrow Y.

FIGURE 13 is a longitudinal section through two  
15 linked capsules of the capsule chain of the second hand-held device.

FIGURES 14A-E are a sequence of plan views corresponding to FIGURE 7 showing the capsule chain as  
20 it moves through a complete circuit in the second hand-held device.

#### Detailed Description of the Drawings

25        FIGURES 1-6 show a first hand-held device 1 in accordance with the present invention. The device 1 has a housing 3, in this embodiment made from a plastics material, optionally formed by moulding. The housing 3 has an upper face 5, a lower face 7 and an  
30 endless side face 9 which connects the outer peripheral edges 11,13 of the upper and lower faces

5,7, respectively. In this way, as shown in FIGURE 2, the upper, lower and side faces 5,7,9 bound an inner volume 15 of the housing 3.

5 As shown in FIGURES 2 and 3, in the housing inner volume 15 there is provided an endless track 17 which receives an endless chain 19 of unlinked capsules 21. The track 17 has a path which is disposed adjacent the outer periphery of the housing 3 other than at a  
10 generally U-shaped fold section 23 of the track 17 which extends inwardly. The fold section 23 forms a loop or chicane in the track 17. The plan view of FIGURE 3 shows that the fold section 23 gives the track a closed W-shape configuration.

15 The upper and lower faces 5,7 respectively present a roof 18 and a base 20 of the track 17. Moreover, the sides of the track 17 are presented by an inner surface 10 of the housing side face 9 and an  
20 opposing side face 24 of an inner wall structure 25 in the housing inner volume 15. The inner wall structure 25 may be of a plastics material, for instance made by moulding. Moreover, the inner wall structure 25 may be integrally formed with one of the other parts of  
25 the housing 3.

As will be seen from FIGURES 3 and 6, the capsules 21 are the same, with each comprising a hollow, generally cylindrical tube 26. In this  
30 embodiment the capsules 21 are made from a plastics material, preferably by moulding. The capsules 21 are

disposed upright in the track 17 in side-by-side relation. The capsules 21 are adapted to receive a powder content therein, for example a medicament powder, and may take the form shown and described in  
5 WO2004/045688, the entire content of which is hereby incorporated herein by reference.

Where the capsules 21 each contain a dose of an inhalable medicament powder, the device 1 may take the  
10 form of a dry powder inhaler (DPI), as indicated by the provision of a mouthpiece 28 on the housing 3. The mouthpiece 28 could be replaced by another form of nozzle, for instance a nozzle sized and shaped for insertion into a nasal cavity.

15 Each capsule 21 may have a length (height) in the range of about 5mm to about 15mm and an outer diameter in the range of about 3mm to about 8mm. In other words, the capsules 21 may be referred to as a  
20 "microcapsule". Such capsules 21 may be suited for holding a unit dose of a medicament powder in the range of about  $2\mu\text{g}$  to about 30mg. The capsules 21 may contain a unit dose of pure active drug substance, or a blend of pure active drug substances, in the range  
25 of about  $2\mu\text{g}$  to about  $250\mu\text{g}$  (i.e. no bulk filler), or a bulked out unit dose of a medicament powder up to about 30mg.

For a small unit dose of medicament powder, for  
30 instance in the range of about 2- $250\mu\text{g}$ , it is preferable for the capsules 21 to have a length

(height) in the range of about 5mm to about 6mm and an outer diameter in the range of about 3mm to about 5mm.

Referring particularly to FIGURE 5, the housing 3  
5 is provided with a conveying mechanism for conveying the capsule chain 19 around the track 17. The conveying mechanism comprises a gear train 27 comprising six spur gear wheels 29a-f rotatably mounted in the housing 3. The gear wheels 29a-f in  
10 the embodiment are of a plastics material, optionally formed by moulding.

One of the gear wheels 29a (hereinafter the "actuator gear wheel") protrudes from the housing side  
15 face 9 thereby enabling a user of the device 1 to cause rotation thereof with one of the fingers (e.g. thumb) of their hand holding the device 1 (see FIGURE 1).

20 The other gear wheels 29b-f (hereinafter the "auxiliary gear wheels") mesh with selected ones of the other auxiliary gear wheels and the actuator gear wheel 29a such that rotation of the actuator gear wheel 29a results in concurrent rotation of each of  
25 the auxiliary gear wheels 29b-f. Specifically, in this embodiment the central auxiliary gear wheel 29f meshes with each of the other auxiliary gear wheels 29b-e, which can be considered as satellite auxiliary gear wheels. Moreover, one of the satellite auxiliary gear  
30 wheels 29b meshes with the thumbwheel 29a. In this

way, rotation of the thumbwheel 29a causes rotation of each auxiliary gear wheel 29b-f.

As will be further seen from FIGURES 4 and 5,  
5 each auxiliary gear wheel 29b-f is rotatably connected to a star wheel or a sprocket 31b-f. More particularly, each sprocket 31b-f has a spindle 33b-f which is mounted at one end thereof to the associated auxiliary gear wheel 29b-f at its axis of rotation.  
10 The other end of each spindle 33b-f is rotatably mounted in a recess in the roof 18 (the recess 34f for the centrally-located sprocket 33f is shown in FIGURE 4). In this embodiment, the sprockets 31b-f are formed of a plastics material, optionally by moulding.

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As will be appreciated, when the auxiliary gear wheels 29b-f are driven by the actuator gear wheel 29a, this results in rotation of the sprockets 31b-f. As will be appreciated, the sprockets 31b-f all rotate  
20 concurrently.

As will be understood from FIGURE 2, each sprocket 31b-f is positioned at a bend 35b-f in the track 17 such that its teeth 37 engage the capsules 21  
25 at the respective bend. Accordingly, when the sprockets 31b-f rotate, in response to the thumbwheel 29a being turned to cause rotation of the auxiliary gear wheels 29b-f, the sprocket teeth 37 advance the capsule chain 19 in the track 17.

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FIGURES 6A-F show a full circuit of the capsule chain 19 in the track 17, with the capsules 21 in different segments of the capsule chain 19 being coded differently in FIGURES 6A-F to better illustrate the capsule movement. As shown by the arrows in FIGURES 6A-F, the rotation of the thumbwheel 29a in one rotative sense causes the capsule chain 19 to be driven by the conveying mechanism through the track 17 in the opposite rotative sense.

It will be appreciated that the provision of the fold section 23 in the track 17 provides the track with an increased path length compared to the case where the track 17 simply follows the outer periphery of the housing 3. Expressed another way, the fold section 23 gives the track 17 a compact, space-saving configuration. Accordingly, the track 17 is able to receive more capsules 21. When the device 1 is a dry powder inhaler, for instance, this means that the device is able to carry more doses of the powder medicament meaning that it will not need to be replaced by a patient so frequently.

It will also be appreciated by the skilled reader in the art that each gear wheel 29a-f in the gear train 27 could be replaced by a smooth-surfaced wheel with drive being transmitted along the train, and hence to the sprockets 31b-f, by frictional engagement between the wheels, i.e. through rolling contact between the wheels at respective pitch points.

In FIGURES 7-14 there is shown a second hand-held device 101 in accordance with the present invention. The second hand-held device 101 corresponds closely to the first hand-held device 1. Accordingly, like  
5 features are identified by like reference numerals and no detailed description of the common features in the second device 101 will be given.

In the second device 101 the track 117 has a  
10 capsule chain 119 which is constituted by chain-linked capsules 121. That is to say, the capsules 121 in the chain 119 are linked together, not detached as in the first device 1. More particularly, the capsules 121 are linked into the chain 119 such that the chain 119  
15 can be bent to go round the bends 135b-f of the track 117.

FIGURES 10-12 show one of the capsules 121 in the capsule chain 119 in greater detail. The hollow  
20 cylindrical tube 126 has an upper end 161 and a lower end 163 which is spaced longitudinally from the upper end 161. The tube 126 is provided with a foot 165 which extends radially outwardly from the lower end 163 and has an upstanding circular boss 167.

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As shown in FIGURE 13, the foot 165 provides the linkage for the capsule chain 119 inasmuch as the boss 167 of each capsule 121 is pluggable into the lower end of the lumen 169 of an adjacent capsule 121 in the  
30 chain 119 so as to link the capsules 121 together. Moreover, the relative dimensioning of the boss 167

and the lumen 169 enables the capsules 121 to pivot about the boss 167 inserted therein thereby enabling the capsule chain 119 to negotiate the bends 135b-f in the track 117.

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Preferably, the boss 167 has an outer diameter d1 which is equal to, or marginally less than, the inner diameter d2 of the circular lumen 169 of the tube 126.

10 At the upper end 161 of the cylindrical tube 126 there is provided a radial lip segment 162. As will be appreciated from FIGURES 7 and 13, the purpose of the lip segments 162 is to prevent, or substantially prevent, the capsules 121 tilting about their  
15 longitudinal axes when linked into the capsule chain 119 by bearing against the neighbouring capsules 121 in the chain 119.

Further information on the capsules 121, and on  
20 different forms they may take, is contained in Applicant's co-pending International patent application No. PCT/EP2004/004007 filed on 14 April 2004 claiming priority from UK patent application No. 03 089 69.5 filed on 17 April 2003, the entire  
25 contents of each of which are hereby incorporated herein by reference.

The capsules 121 in the second device 101 may be of corresponding dimensions to those mentioned  
30 previously for the capsules 21 of the first device 1. Moreover, the lumen 169 of each capsule 121 may have

an inner diameter d2 in the range of about 1mm to about 6mm. For a small unit dose of pharmaceutical powder, for instance in the range of about 2-250 $\mu$ g, it is preferable for the lumen inner diameter d2 to be in  
5 the range of about 1mm to about 3mm, more preferably about 2mm.

As shown in FIGURE 7, for example, the inner surface of the track 117 in the second device 101 is  
10 not defined by a central insert, as in the first device 1. Instead, the second device 101 has a plurality of generally U-shaped clips 151a-c clipped thereinto. The resilient outer limb 153a-c of each clip 151a-c defines the side sections of the track  
15 117. Moreover, on the inside of each of the track bends 135b-e is disposed a pillar 155b-e about which the capsule chain 119 is wound.

Having the capsules 121 linked together into the  
20 chain 119 enables the conveying mechanism of the device 101 to be simplified compared to that used in the first device 1. In this embodiment, the conveying mechanism comprises a single sprocket 131 for advancing the capsule chain 119. For convenience, the  
25 sprocket 131 is located on the inside of the bend 135f of the fold section 123 of the track 117. The spindle 133 of the sprocket 131 is rotatably connected to a knob 139, preferably having a knurled outer surface, disposed under the lower face 107 of the housing 103.  
30 Thus, rotation of the knob 139 causes rotation of the

sprocket 131 and advancement of the capsule chain 119 in the track 117.

FIGURES 14A-E show the sequence of movement of the capsule chain 119 through a complete circuit of the track 117 in response to rotation of the knob 139. As indicated by the arrows, the capsule chain 119 circulates the track 117 in an opposite rotative sense compared to the knob 139.

Appropriate medicaments for the medicament powder for use in the present invention may be selected from, for example, analgesics, e.g., codeine, dihydromorphine, ergotamine, fentanyl or morphine; anginal preparations, e.g., diltiazem; antiallergics, e.g., cromoglycate (e.g. as the sodium salt), ketotifen or nedocromil (e.g. as the sodium salt); antiinfectives e.g., cephalosporins, penicillins, streptomycin, sulphonamides, tetracyclines and pentamidine; antihistamines, e.g., methapyrilene; anti-inflammatory, e.g., beclomethasone (e.g. as the dipropionate ester), fluticasone (e.g. as the propionate ester), flunisolide, budesonide, rofleponide, mometasone e.g. as the furoate ester), ciclesonide, triamcinolone (e.g. as the acetate) or 6 $\alpha$ , 9 $\alpha$ -difluoro-11 $\beta$ -hydroxy-16 $\alpha$ -methyl-3-oxo-17 $\alpha$ -propionyloxy-androsta-1,4-diene-17 $\beta$ -carbothioic acid S-(2-oxo-tetrahydro-furan-3-yl) ester; antitussives, e.g., noscapine; bronchodilators, e.g., albuterol (e.g. as free base or sulphate), salmeterol (e.g. as xinafoate), ephedrine, adrenaline, fenoterol (e.g. as

hydrobromide), formoterol (e.g. as fumarate), isoprenaline, metaproterenol, phenylephrine, phenylpropanolamine, pirbuterol (e.g. as acetate), reproterol (e.g. as hydrochloride), rimiterol, 5 terbutaline (e.g. as sulphate), isoetharine, tulobuterol or 4-hydroxy-7-[2-[[2-[[3-(2-phenylethoxy)propyl]sulfonyl]ethyl]amino]-ethyl-2(3H)-benzothiazolone; adenosine 2a agonists, e.g. 2R,3R,4S,5R)-2-[6-Amino-2-(1S-hydroxymethyl-2-phenyl-ethylamino)-purin-9-yl]-5-(2-ethyl-2H-tetrazol-5-yl)-10 tetrahydro-furan-3,4-diol (e.g. as maleate);  $\alpha_4$  integrin inhibitors e.g. (2S)-3-[4-({[4-(aminocarbonyl)-1-piperidinyl]carbonyl}oxy)phenyl]-2-[[((2S)-4-methyl-2-{[2-(2-methylphenoxy) acetyl]amino}-15 pentanoyl)amino] propanoic acid (e.g. as free acid or potassium salt), diuretics, e.g., amiloride; anticholinergics, e.g., ipratropium (e.g. as bromide), tiotropium, atropine or oxitropium; hormones, e.g., cortisone, hydrocortisone or prednisolone; xanthines, 20 e.g., aminophylline, choline theophyllinate, lysine theophyllinate or theophylline; therapeutic proteins and peptides, e.g., insulin or glucagon; vaccines, diagnostics, and gene therapies. It will be clear to a person skilled in the art that, where appropriate, 25 the medicaments may be used in the form of salts, (e.g., as alkali metal or amine salts or as acid addition salts) or as esters (e.g., lower alkyl esters) or as solvates (e.g., hydrates) to optimise the activity and/or stability of the medicament.

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Preferred medicaments are an anti-inflammatory agent (for example a corticosteroid or an NSAID), an anticholinergic agent, a  $\beta_2$ -adrenoreceptor agonists, an antiinfective agent (e.g. an antibiotic or an  
5 antiviral) and an antihistamine. The medicament may be the sole medicament in the capsules or in combination with another medicament. Preferred combinations are based on the preferred medicament list above.

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Preferred as a component of a medicament combination in the capsules are albuterol, salmeterol, fluticasone propionate and beclomethasone dipropionate and salts or solvates thereof, e.g., the sulphate of  
15 albuterol and the xinafoate of salmeterol.

A particularly preferred medicament combination for use in the capsules of the invention is a bronchodilator in combination with an anti-  
20 inflammatory. The bronchodilator is suitably a beta-agonist, particularly a long-acting beta-agonist (LABA). Suitable bronchodilators include salbutamol (e.g., as the free base or the sulphate salt), salmeterol (e.g., as the xinafoate salt) and  
25 formoterol (eg as the fumarate salt). The anti-inflammatory is suitably an anti-inflammatory steroid. Suitable anti-inflammatory compounds include a beclomethasone ester (e.g., the dipropionate), a fluticasone ester (e.g., the propionate) or budesonide  
30 or any salt or solvate thereof. One preferred combination is fluticasone propionate and salmeterol,

or any salt or solvate thereof (particularly the xinafoate salt). A further preferred combination is budesonide and formoterol or any salt or solvate thereof (e.g. formoterol as the fumarate salt).

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Generally, powdered medicament particles suitable for delivery to the bronchial or alveolar region of the lung have an aerodynamic diameter of less than 10 micrometers, preferably less than 6 micrometers.

10 Other sized particles may be used if delivery to other portions of the respiratory tract is desired, such as the nasal cavity, mouth or throat. The medicament may be delivered as a pure drug or together with excipients (carriers) which are suitable for  
15 inhalation. Suitable excipients include organic excipients such as polysaccharides (i.e. starch, cellulose and the like), lactose, glucose, mannitol, amino acids, and maltodextrins, and inorganic excipients such as calcium carbonate or sodium  
20 chloride. Lactose is a preferred excipient. The excipient may be included with the medicament via well-known methods, such as by admixing, co-precipitating and the like.

25 Particles of the powdered medicament and/or excipient may be produced by conventional techniques, for example by micronisation, milling or sieving. Additionally, medicament and/or excipient powders may be engineered with particular densities, size ranges,  
30 or characteristics. Particles may comprise active agents, surfactants, wall forming materials, or other



components considered desirable by those of ordinary skill.

For the avoidance of doubt, the present invention  
5 is not limited to the specific embodiments described  
above with reference to the FIGURES of drawings, but  
may take any form within the scope of the appended  
claims. Moreover, the specific embodiments may be  
modified in accordance with the claims. Furthermore,  
10 the use of prefixes such as "generally" and the like  
in relation to parameters and features of the  
invention is meant to encompass the exact parameter or  
feature, as well as deviations therefrom. Lastly, the  
inclusion of reference numerals in the claims is  
15 solely for illustration, and not to be taken as having  
a limiting effect on the claims.

The present application claims priority from UK  
patent application No. 03 256 28.6 filed on 3 November  
20 2003, the entire original content of which is hereby  
incorporated herein by reference. The application is  
also related to the Applicant's concurrently filed  
International patent application entitled 'A Hand-Held  
Capsule Device' which claims priority from UK patent  
25 application No. 03 256 27.8 filed on 3 November 2003,  
the entire contents of each of which are hereby  
incorporated herein by reference.